





# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No: 06005/35500

# PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. 1.53

**Box Patent Application** Assistant Commissioner for Patents Washington, D.C. 20231

~	٠		
•	ı	r	۰
J	ı	•	٠

Brent Hans Larson, Steve Allen Packwood, and Larry Keith Brown Inventor(s):

"DOWNLOADABLE CODE IN A DISTRIBUTED PROCESS CONTROL SYSTEM" Title:

#### 1. Type of Application

- This is a new application for a
  - ☑ utility patent.
  - □ design patent.
- This is a continuation-in-part application of prior application no. \_\_\_\_\_\_.

#### 2. **Application Papers Enclosed**

- 1 Title Page
- 21 Pages of Specification (excluding Claims, Abstract, Drawings & Sequence Listing)
- 13 Page(s) of Claims
- 1 Page(s) of Abstract
- 2 Sheet(s) of Drawings (Figs. 1 to 2)

 $\boxtimes$ 

Formal

Informal

Page(s) of Sequence Listing 0

#### **CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on September 29, 1999, in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EM099538760US.

Richard Zimmermann

	3.	Declaratio	n or Oath	
		⊠	Enclosed	
			⊠ Exe	ecuted by (check all applicable boxes)
			×	Inventor(s)
				Legal representative of inventor(s) (37 CFR 1.42 or 1.43)
				Joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached
				☐ The petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 are enclosed. See Item 5D below for fee.
				osed - the undersigned attorney or agent is authorized to file this on on behalf of the applicant(s). An executed declaration will
	4.	Additional	Papers Er	nclosed
			Prelimina	ary Amendment
			Informati	ion Disclosure Statement
-			Declarati	on of Biological Deposit
				er readable copy of sequence listing containing nucleotide and/or cid sequence
			Microfich	ne computer program
			Verified	statement(s) claiming small entity status under 37 CFR 1.9 and 1.27
			Associat	e Power of Attorney
			Verified <sup>1</sup>	translation of a non-English patent application
			An assig	nment of the invention

☑ Return receipt postcard

□ Other

# 5. Priority Applications Under 35 USC 119

Certified copies of applications from which priority under 35 USC 119 is claimed are listed below and

- □ are attached.
- □ will follow.

COUNTRY	APPLICATION NO.	FILED

# 6. Filing Fee Calculation (37 CFR 1.16)

### A. Multility Application

	CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY)					
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE		¥	÷, ,	\$380.00		\$760.00
TOTAL	36 -20	= 16	X 9 =	\$	X 18 =	\$288.00
INDEP.	5-3	= 2	X 39 =	\$	X 78 =	\$156.00
☐ First Presentation of Multiple Dependent Claim + 130 =				\$	+ 260 =	\$
		Filing Fee	ı:	\$	OR	\$1204.00

В.		Design Application (\$155.00/\$310.00)	filing Fee:	\$
C.		Plant Application (\$240.00/\$480.00)	Filing Fee:	\$
Đ.	Oth	er Fees		
		Recording Assignment [Fee \$40.00 per assignment]	gnment]	\$
		Petition fee for filing by other than all the invenor person on behalf of the inventor where inverto sign or cannot be reached [Fee \$130.00]	ntor refused	\$
		Other		\$

Total Fees Enclosed \$1204.00

### 7. Method of Payment of Fees

$\boxtimes$	Enclosed check in the amount of:	\$ <u>1204.00</u>
	Charge Deposit Account No. 13-2855 in the amount of: A copy of this Transmittal is enclosed.	\$

# 8. Deposit Account and Refund Authorization

□ Not enclosed

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 or under other applicable rules (except payment of issue fees), to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.

Please refund any overpayment to Marshall, O'Toole, Gerstein, Murray & Borun at the address below.

Please direct all future communications to Scott E. Baxendale, at the address below.

Respectfully submitted,

MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BORUN 6300 Sears Tower 233 South Wacker Drive Chicago, Illinois 60606-6402 (312) 474-6300 (312) 474-0448 (Telefacsimile)

Rv.

Scott E. Baxendale

Reg. No: 41,605

September 29, 1999

### **JOINT INVENTORS**

"EXPRESS MAIL" mailing label No. EM099538760US.

Date of Deposit: September 29, 1999
I hereby certify that this paper (or fee) is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR §1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents,

Washington/D.C. 20231

Richard Zimmermann

# APPLICATION FOR UNITED STATES LETTERS PATENT

# SPECIFICATION

#### TO ALL WHOM IT MAY CONCERN:

Be it known that we, Brent Hans Larson, a citizen of The United States of America, residing at 1008 Fremont Street, Marshalltown 50158, in the State of Iowa; and Steve Allen Packwood, a citizen of The United States of America, residing at 1323 West Church Street, Marshalltown 50158, in the State of Iowa; and Larry Keith Brown, a citizen of The United States of America, residing at 211 E. Southridge, Marshalltown 50158, in the State of Iowa, have invented a new and useful "Downloadable Code in a Distributed Process Control System," of which the following is a specification.

10

15

20

25

30

# DOWNLOADABLE CODE IN A DISTRIBUTED PROCESS CONTROL SYSTEM

#### FIELD OF THE INVENTION

The present invention relates generally to process control systems and, more particularly, to a system wherein field devices are reprogrammed with software downloaded via the system's standard communication protocol.

#### DESCRIPTION OF THE RELATED ART

Distributed process control systems, like those used in chemical, petroleum or other processes, typically include one or more process controllers communicatively coupled to one or more field devices via analog, digital or combined analog/digital buses. The field devices, which may be, for example, valves, valve positioners, switches and transmitters (e.g., temperature, pressure and flow rate sensors), are located within the process environment and perform process functions such as opening or closing valves, measuring process parameters, etc. Smart field devices, such as the field devices conforming to the well-known Fieldbus protocol may also perform control calculations, alarming functions, and other control functions typically implemented within the controller. The process controllers, which are also typically located within the plant environment, receive signals indicative of process measurements made by the field devices and/or other information pertaining to the field devices and execute a controller application that runs, for example, different control modules which make process control decisions, generate control signals based on the received information and coordinate with the control modules or blocks being performed in the field devices, such as Fieldbus field devices. The control modules in the controller send the control signals over the communication lines to the field devices to thereby control the operation of the process.

Information from the field devices and the controller is usually made available over a data highway, or bus, to one or more other hardware devices, such as operator workstations, personal computers, data historians, report generators, centralized databases, etc. typically placed in control rooms or other locations away from the harsher plant environment. These hardware devices run applications that may, for example, enable an operator to perform functions with respect to the process, such as

10

15

20

25

30

changing settings of the process control routine, modifying the operation of the control modules within the controller or the field devices, viewing the current state of the process, simulating the operation of the process for the purpose of training personnel or testing the process control software, keeping and updating a configuration database, etc.

The process control industry has developed a number of standard, open communication protocols including, for example, the FOUNDATION<sup>TM</sup> Fieldbus, HART®, PROFIBUS®, WORLDFIP®, LONWORKS®, Device-Net®, and CAN protocols, which enable field devices made by different manufacturers to be used together within the same process control network. In fact, any field device that conforms to one of these protocols can be used within a process to communicate with and to be controlled by a controller that supports the protocol, even if that field device is made by a different manufacturer than the manufacturer of the DCS controller. The communication protocols enable the controllers and field devices to transmit and receive process control information and non-process control information, such as alarm messages, trend data, set point changes, etc.

For example, the FOUNDATION™ Fieldbus protocol promulgated by the Fieldbus Foundation is an all-digital, two-wire bus protocol that allows devices to interoperate and communicate with one another via a standard bus in a process control network. In order to implement a control strategy in the process control network, the operation of the field devices and the exchange of process control information must be precisely scheduled so that proper data is provided to each field device before the information is needed. At the same time, field devices and controllers must be able to communicate non-process control information over the network without affecting the execution of process control by the network. The Fieldbus protocol facilitates the transmission of both types of information by providing for both scheduled (synchronous) and unscheduled (asynchronous) communications.

A communication schedule for the process control network contains the times that each control module of each device is scheduled to start periodic communication activity on the bus and the length of time for which the communication activity is to

10

15

20

25

30

occur. In general, communication activities over the bus are divided into repeating macrocycles, each of which includes one synchronous communication for each control module active on any particular segment of the bus and one or more asynchronous communications for one or more of the control modules or devices active on a segment of the bus.

During each macrocycle, each of the control modules active on a particular segment of the bus executes, usually at a different, but precisely scheduled (synchronous) time and, at another precisely scheduled time, publishes its output data on that segment of the bus in response to a compel data command generated by a scheduling device that stores and controls execution of the process schedule. Preferably, each control module is scheduled to publish its output data shortly after the end of the execution period of the control module. Furthermore, the data publishing times of the different control modules are scheduled serially so that no two control modules on a particular segment of the bus publish data at the same time. During the time that synchronous communication is not occurring, each field device is allowed, in turn, to transmit non-process control information as well as additional process control data in an asynchronous manner using token driven communications. The execution times and the amount of time necessary to complete execution of each control module are stored in the device in which the control module resides while, as noted above, the times for sending the compel data commands to each of the devices on a segment of the bus are stored in the scheduling device for that segment.

To effect communications during each macrocycle, the scheduling device of the bus segment sends a compel data command to each of the devices on the bus segment according to the list of transmit times stored in the scheduling device. Upon receiving a compel data command, a control module of a device publishes its output data on the bus for a specific amount of time. After the scheduling device has sent a compel data command to each of the control modules on a particular segment of the bus and during the times that control modules are executing, the scheduling device may cause asynchronous communication activities to occur. To effect asynchronous communication, the scheduling device sends a pass token message to a particular field

10

15

20

25

device. When a field device receives a pass token message, that field device has full access to the bus (or a segment thereof) and can send asynchronous messages until the messages are complete or until a maximum allotted "token hold time" has expired. Thereafter the field device releases the bus (or any particular segment thereof) and the scheduling device sends a pass token message to another device. This process repeats until the end of the macrocycle or until the scheduling device is scheduled to send a compel data command to effect synchronous communication. Of course, depending on the amount of message traffic and the number of devices and control modules coupled to any particular segment of the bus, not every device may receive a pass token message during each macrocycle.

Another example of a standard communication protocol, the HART protocol, uses twisted pair wiring with combined analog/digital capabilities to allow communications between field devices in a process control network. Under the HART protocol, process control information is transmitted using a 4-20mA analog signal, with the magnitude of the signal being indicative of the value of the process control variable being transmitted. The HART protocol also provides a digital signal superimposed over the analog signal that may be used to communicate both process control and non-process control information. Digital communications in the HART protocol are unscheduled (similar to Fieldbus asynchronous communications), bidirectional, and primarily implemented through master/slave communications. The master device issues commands in request/response format to the slave device. The slave responds to the request by transmitting the requested information back to the master to complete the exchange. Alternatively, the slave can operate in burst mode, wherein the slave sends out packets of information in a known format as a broadcast message that is detected and received by the host device. Although the HART protocol is implemented in a process control network with a different physical configuration than the Fieldbus protocol, the HART protocol, like the Fieldbus and other communications protocols, allows transmission of non-process control information without affecting the network's ability to perform process control.

. -4-

Each field device is programmed with software or firmware that controls the operation of the device and that implements one or more process control modules. The first generation of electronic process control instruments and field devices were programmed with ROM-based software or some other non-reprogrammable memory.

The devices functioned satisfactorily for their original intended purpose, but it was difficult or impossible to reprogram the devices to, for example, correct a software problem or defect, introduce new functionality for the device, improve the performance of the device, etc. If one of these devices required reprogramming, the electronics module was removed and replaced by a new module programmed with the repaired or upgraded software. In some cases, the electronics module was not replaceable and the field device had to be replaced with a different device that implemented the corrected software or additional functionality. In either case, the process was interrupted while the field device was updated.

Subsequent generations of process control instruments have evolved from ROM-based software to reprogrammable devices. The evolution of the reprogrammable devices has been facilitated by improvements in non-volatile memory (NVM). In one implementation of a reprogrammable device, the electronics module of the device is removed, the NVM is reprogrammed with the corrected or updated firmware, and reinstalled in the device. This implementation eliminates the need for a new electronics module each time the firmware is changed, but the person reprogramming the NVM is still required to go to the location of the device to perform the firmware upgrade. Moreover, as with the first generation devices, at a minimum, some or all process control functions are suspended while the device is reprogrammed. In extreme cases, the entire process must be shut down.

25

5

10

15

20

In another implementation of a reprogrammable device, the electronics module is not removed from the device, but reprogramming of the device is effected by attaching a downloading device to a communications port of the field device. In this way, code is downloaded to the field device without disassembling the device. However, this implementation of a reprogrammable device does not eliminate the

. - 5 -

10

15

20

25

need to send a person out to the location of the field device to reprogram the device or of suspending process control while the code is downloaded.

Yet another implementation of a reprogrammable device facilitates downloading code remotely from a host device of the process control system. In this implementation, the code is transmitted to the device over the data highway or bus of the process control system. The download is initiated at the host device in the control room, and the host device transmits the code over the bus. Currently, the host device performs the download using either a standard communication protocol for the process control system which allows other devices to operate, or a non-standard communication protocol that is recognized by the host and the device but not necessarily by the other devices connected to the bus. Regardless of the protocol used to communicate the code, the host takes control of the bus, thereby preventing communications between the other devices connected to the bus during the download. This implementation is an improvement over the other implementations because it eliminates the need to send a person to the location of the device, but the host device usurps control of the bus for the duration of the download. Consequently, as with the other implementations, the process control system is partially or completely taken out of service while the code is downloaded to the field device.

These examples illustrate reprogrammable devices developed by the process control industry that eliminate the necessity of changing the hardware of the devices or going to the physical location of the device to perform software and/or firmware downloads. However, despite the devices' ability to be reprogrammed, the presently known methods for reprogramming the devices require taking the process control system partially or completely out of service for the duration of the downloading and reprogramming the devices, a process that may require as much as a half of a day interruption of service to reprogram a single field device. This results in the reduction of the output of the controlled process caused by the periods of suspended operations and increased labor cost (where manual reprogramming of the field devices is required).

10

15

20

25

# SUMMARY OF THE INVENTION

The present invention is directed to a method of reprogramming a field device in a process control network using the standard communications protocol for the network, and a reprogrammable field device in the process control network adapted for being reprogrammed using the standard communications protocol for the network. The method and device of the present invention use the standard communications protocol to transmit the downloaded code to the field device and store the downloaded code in the field device while the device is enabled to perform process control. Once the new code is downloaded and stored in the field device, the field device is disabled from performing process control, reprogrammed to execute the downloaded code, and reenabled to perform process control.

By using the standard communications protocol for the process control network during the regular operation of the network, the new code is downloaded to the reprogrammable field device without interrupting the performance of process control by either the reprogrammable field device or the other devices in the network, thereby reducing the amount of time the process control network is placed out of service while the field device is reprogrammed. Although the field device must be taken out of service to be reprogrammed to execute the downloaded code, the amount of time the field device is out of service for reprogramming, in most instances, is substantially less than the time required to download the code over the network. Consequently, the extent of the disruption to the process control network due to reprogramming the field device is significantly reduced compared to previous methods and devices that required suspending process control during both the downloading of code and the reprogramming of the field device. Moreover, by using the standard communication protocol of the process control network, the amount of additional coding required to implement the method and device of the present invention is greatly reduced because the functionality for communicating messages, error checking, and the like are already present in the standard communications protocols.

10

15

20

25

30

According to one aspect of the present invention, a method of reprogramming a field device in a process control network having a plurality of devices communicatively linked on a bus includes the steps of downloading the program instructions from a host device to the field device using the standard communication protocol used in the process control network while the field device performs process control, storing the downloadable program instructions in the field device, and causing the field device to execute the downloadable program instructions. The standard communication protocol may provide both scheduled and unscheduled communications, such as the communications supported by the Fieldbus protocol, with the host device transmitting the downloadable program instructions via one or more unscheduled queued communications. Moreover, the standard communication protocol may provide for analog and digital communications, such as the communications supported by the HART protocol, with the host device transmitting the downloadable program instructions via one or more digital communications. Additionally, the downloadable program instructions may be divided into data packets that are transmitted by the host device over time and reassembled by the field device.

In one embodiment of the method according to the present invention, the field device has a first memory and a second memory, with the downloadable program instructions being stored in the second memory while the field device is capable of executing stored program instructions in the first memory. According to one embodiment, the field device is reprogrammed by copying the downloadable program instructions from the second memory to the first memory, and subsequently executing the downloadable program instructions in the first memory. In another embodiment, the field device ceases executing the stored program instructions in the first memory and is redirected to execute the downloadable program instructions in the second memory.

According to another aspect of the present invention, a system for reprogramming field devices is provided in a process control network having a plurality of devices communicatively linked over a bus, wherein each of the devices is capable of performing a process function and of communicating on the bus using a

10

15

20

25

standard communications protocol. The system includes a first device that generates input signals including downloadable program instructions and a second device capable of receiving the input signals transmitted over the bus. The second device includes a processor adapted to execute program instructions stored in the second device, a first memory adapted to store program instructions that may be executed by the processor, and a second memory adapted to store the downloadable program instructions received in the input signals. The first device transmits the input signals to the second device over the bus and the second device receives the input signals and stores the downloadable program instructions in either the first or the second memory. The second device stores the downloadable program instructions while the processor is enabled to execute stored program instructions to perform process control. The standard communications protocol may include scheduled and unscheduled communications, with the first device transmitting the input signals using unscheduled queued communications. Alternatively, the standard communication protocol may include analog and digital communications, with the first device transmitting the input signals using digital communications.

The features and advantages of the invention will be apparent to those of ordinary skill in the art in view of the detailed description of the preferred embodiment, which is made with reference to the drawings, a brief description of which is provided below.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a block diagram of a typical process control network having different process control functions performed by different hardware devices and capable of downloading code to the field devices; and

Fig. 2 is a block diagram of a reprogrammable field device capable of being reprogrammed using the standard communications protocol in a distributed process control system like that of Fig. 1.

10

15

20

25

30

# DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring now to Fig. 1, a distributed process control system 10 includes one or more dedicated process controllers 12 each connected to one or more field devices 14 and 15 via input/output (I/O) modules 16 which may be, for example, Fieldbus or HART interfaces. The controllers 12 are also coupled to one or more host or operator workstations 18 via a data highway 20 which may be, for example, an Ethernet link. While the controllers 12, I/O modules 16 and field devices 14 and 15 are located down within and distributed throughout the harsh plant environment, the operator workstations 18 are usually located in control rooms or other less harsh environments easily assessable by controller personnel. Each of the controllers 12, which may be by way of example, the DeltaV controller sold by Fisher-Rosemount Systems, Inc., stores and executes a controller application 23 that implements a control strategy using a number of different, independently executed, control modules 24. The control modules 24 may each be made up of what are commonly referred to as function blocks wherein each function block is a part or a subroutine of an overall control routine and operates in conjunction with other function blocks (via communications called links) to implement process control loops within the process control system 10. As is well known, function blocks typically perform one of an input function, such as that associated with a transmitter, a sensor or other process parameter measurement device, a control function, such as that associated with a control routine that performs PID, fuzzy logic, etc. control, or an output function which controls the operation of some device, such as a valve, to perform some physical function within the process control system 10. Of course hybrid and other types of function blocks exist. However, the control modules 24 could be designed using any desired control programming scheme including, for example, sequential function block, ladder logic, etc. and are not limited to being designed using function block or any other particular programming technique.

In the system illustrated in Fig. 1, the field devices 15 connected to one of the controllers 12 are smart field devices, such as Fieldbus field devices, which include a processor and a memory. These devices store and execute the controller application

- 10 **-** .

10

15

20

25

23 as well as modules 24, or sub-parts, such as function blocks, of one or more of the modules 24. The modules or parts of modules within the field devices 15 may be executed in conjunction with the execution of the modules within the controller 12 to implement process control as is known. Similarly, one or more of the field devices 14 connected to another controller 12 may also be smart field devices, such as HART field devices, with controller application 23 and control modules 24 executed to implement process control.

The host workstation 18 stores and executes a configuration application 25 that is used to create or change the process control modules 24 and to download these control modules via the data highway 20 to one of the controllers 12 and/or to field devices such as one of the field devices 15. The host workstation 18 may also store and execute a viewing application 26 that receives data from the controller 12 via the data highway 20 and that displays this information via a display mechanism using predefined user interfaces 27 or views, typically created using the configuration application 25. In some cases, the viewing application 26 receives inputs, such as set point changes, from the user and provides these inputs to the controller application 23 within one or more of the controllers 12.

A data historian 28 is connected to the data highway 20 and stores data in a memory therein using any desired or known data historian software. However, the data historian could alternatively be in one or more of the workstations 18 if so desired. Furthermore, a configuration database 30 runs a configuration database application 32 that stores the current configuration of the process control system and data associated therewith.

Referring now to Fig. 2, a reprogrammable field device 40 is a smart device having a CPU 42, a first memory 44, a second memory 46, and a transfer unit 48. The reprogrammable field device 40 is typically connected to a controller 12 via an input/output device 16, and one or all of the field devices 14, 15 of Fig. 1 may be reprogrammable field devices 40. The reprogrammable field devices 40 are also capable of communicating with other devices connected over a bus or other

10

15

20

25

30

communication link using the standard communications protocol (such as the HART or Fieldbus protocol) used by that bus or other communication link.

In the embodiment of Fig. 2, the reprogrammable field device 40 stores the control application 23 and the control modules 24 in the first memory 44, and may also store the operating system software 50 for the reprogrammable field device 40, and any other software for the reprogrammable field device 40 that is intended to be reprogrammable. Other types of data, such as calibration data 52, could be stored in the first memory 44 or in some other memory location or memory device where the data will not be corrupted by a code download. During normal operation of the reprogrammable field device 40, the CPU 42 executes the code stored in the first memory 44 to operate the reprogrammable field device 40 and to perform the process control functions required by the process control system 10.

The second memory 46 of the reprogrammable field device 40 stores newly downloaded code that is used to reprogram the reprogrammable field device 40. When the reprogrammable field device 40 receives the input message over the data highway 20 containing the downloadable code, the CPU 42 writes the downloadable code into the second memory 46. The downloadable code may be received and stored in the second memory 46 while the reprogrammable field device 40 is operational and the CPU 42 is capable of concurrently executing code stored in the first memory 44 to perform process control. Once the code is completely downloaded into the second memory 46, the reprogrammable field device 40 is taken out of service or is set to some other non-operational state for a relatively short period of time while the reprogrammable field device 40 is reprogrammed to execute the downloaded code.

The process of storing newly downloaded code and reprogramming the reprogrammable field device 40 is controlled by the transfer unit 48. The transfer unit 48 may be implemented as part of the CPU42, as a separate CPU on its own, or as separate logic executed by the CPU 42 to control the code storage and reprogramming of the reprogrammable field device 40. When the reprogrammable field device 40 receives the input messages with the downloaded code, the transfer unit 48 causes the CPU 42 to write the downloaded code to proper location in either the first memory 44

- 12 -

10

15

20

25

30

or second memory 46 as will be described more fully below. Once the downloaded code is stored, the transfer unit 48 may cause the reprogrammable field device 40 to go out of service or set the device 40 to some other non-operational state while the device is reprogrammed. Moreover, the transfer unit 48 controls the reprogramming of the device 40 by causing the CPU 42 to execute the downloaded code, and the resumption of normal process control operations by the reprogrammable field device 40...

Although the first memory 44 and the second memory 46 are illustrated in separate blocks in Fig. 2, the first and second memories 44, 46, respectively, are not constrained to a particular physical or operational relationship, or to being implemented by a particular type of storage device. For example, in one embodiment of a reprogrammable field device 40, the first memory 44 is a reprogrammable NVM storage device, such as flash memory, and the second memory 46 is a temporary memory storage device, such as classical RAM or other type of RAM, or a different type of NVM storage device than the NVM of the first memory 44. When the new code is downloaded over the data highway 20, the transfer unit 48 causes the temporary storage of the downloaded code in the second memory 46. Once the download is complete, the transfer unit 48 takes the reprogrammable field device 40 out of service and causes the transfer of the downloaded code from the second memory 46 into the proper location in the flash memory of the first memory 44. For example, if the downloaded code contains a new or repaired operating system for the reprogrammable field device 40, the downloaded code is written to the NVM of the first memory 44 at the storage location for the operating system 50. Moreover, if the downloaded code includes additional functionality for the reprogrammable field device 40 in the form of a new control module 24, the downloaded code for the new control module 24 may be written to a vacant storage location in the NVM of the first memory 44 while the code for the control application 26 is updated with any additional functionality and with the address for the new control module 24. Once the downloaded code in the second memory 46 is written into the NVM of the first memory 44, the transfer unit 48 returns the reprogrammable field device 40 to its

10

15

20

25

30

normal operational mode with the CPU 42 executing the new downloaded code now stored in the first memory 44.

In another embodiment of the reprogrammable field device 40, the reprogrammable field device 40 is capable of executing code stored in either the first memory 44 or the second memory 46. For example, both the first memory 44 and the second memory 46 could be NVM storage devices, with both storage devices having the capacity to store the same reprogrammable code. Consequently, the second memory 46 would be able to store control applications 23, control modules 24, operating system software 50, and any other information stored in the first memory 44. In this embodiment, the transfer unit 48 includes a pointer or storage for an address that indicates to the CPU 42 which memory 44, 46 to access for execution of the reprogrammable code.

Applying this embodiment to the reprogrammable field device 40 of Fig. 2, the pointer in the transfer unit 48 is initially set to direct the CPU 42 to execute the code stored in the NVM of the first memory 44 while the NVM of the second memory 46 sits idle. When code for reprogramming the reprogrammable field device 40 is transmitted over the data highway 20 to the reprogrammable field device 40, the transfer unit 48 causes the CPU 42 to write the downloadable code into the NVM of the second memory 46. The downloaded code updates or replaces the code previously stored in the NVM of the second memory 46. After the code download is complete, the transfer unit 48 takes the reprogrammable field device 40 out of service and updates the pointer to cause the CPU 42 to execute the downloaded code currently stored in the NVM of the second memory 46, thereby reprogramming the device 40. After the pointer is updated, the reprogrammable field device 40 can be placed back in service for normal operation according to the newly downloaded code in the NVM of the second memory 46. Once the reprogrammable field device 40 is reprogrammed to execute the downloaded code in the second memory 46, the next instance of downloading code will result in storing the downloaded code in the NVM of the first memory 44 and subsequently reprogramming the CPU 42 to execute the downloaded code in the first memory 44. In this embodiment of the reprogrammable field device

10

15

20

25

30

40, it may also be necessary to copy the current version of the downloaded code from the executing memory to the idle memory to ensure that the latest version of the reprogrammable field device 40's software is updated by subsequent code downloads. The copying can occur either during the reprogramming cycle or, to reduce the disruption to the process control system 10, during a period when the reprogrammable field device 40 is operational but not performing process control, or could be performed live as a background task since the software is being run out of a separate memory.

In yet another embodiment, the reprogrammable field device 40 has a single NVM storage device with read/write capability. The NVM storage device is partitioned to create the first memory 44 and the second memory 46. As in the previously described embodiment, a pointer in the transfer unit 48 directs the CPU 42 to execute the downloaded code in the partition containing the current version of code for the reprogrammable field device 40. When new code is downloaded to the reprogrammable field device 40, the downloaded code is written into the idle partition of the NVM storage device and, when the download is complete, the reprogrammable field device 40 is reprogrammed to execute the newly downloaded code in the previously idle partition of the NVM storage device.

The operations of downloading code and reprogramming the reprogrammable field device 40 are effected using the standard communications protocol of the process control system 10 and may be completed while the reprogrammable field device 40 is operational within a process control network with only minimal affect on the execution of the process control network. The download is initiated by a host 18 into which the new code has been loaded. Prior to the actual download of the code, the host 18 may transmit a message to a controller 12 which then transmits a message to the reprogrammable field device 40 that instructs the reprogrammable field device 40 that the host 18 (or controller 12) is going to download code to the reprogrammable field device 40. The initial message from the host 18 may contain information pertaining to the type of code being downloaded (operating system, control applications, control modules, etc.), the version of the code being downloaded, the

- 15 - .

10

15

20

25

30

amount of storage space required for the downloaded code, and the like. Upon receiving the initial message from the host 18, the reprogrammable field device 40 prepares to receive the downloaded code in one or more subsequent messages from the host 18, and responds to the host 18 with a message indicating that it is prepared to receive the code. The response message from the reprogrammable field device 40 may include additional information for the host 18 such as storage constraints, the version of the software currently running in the reprogrammable field device 40, and the like.

Once the host 18 receives the response from the reprogrammable field device 40, the host 18 can begin downloading the code to the reprogrammable field device 40. The code is transmitted to the reprogrammable field device 40 over the data highway 20 and then over a protocol bus or link (such as those associated with the HART or Fieldbus protocols) using the standard communications protocol for the process control system 10. Depending on the volume of code to be downloaded, the transfer may require either a single transmission from the host 18, or the transmission of multiple packets of data. The number of transmissions required will also depend on the speed of the data highway 20 and the protocol communication link, the amount of time allotted to the controller 12 in the process control schedule for transmitting data, the speed with which the storage device in the reprogrammable field device 40 can parse and store the data, etc. As the reprogrammable field device 40 receives each of the download transmissions from the host 18 (or controller 12), the data is reassembled in the storage device for proper execution by the CPU 42 of the reprogrammable field device 40. As the transmissions are received by the reprogrammable field device 40, the standard transmission verification of the communications protocol, such as checksums, is used to ensure that each transmission is received properly by the reprogrammable field device 40.

As the new code is downloaded from the host 18, the reprogrammable field device 40 stores the downloaded code in either volatile or non-volatile memory in a manner as previously described or in some manner as required by the storage device. It is important to note at this point that the use of the standard communications

. - 16 -

10

15

20

25

30

protocol allows the reprogrammable field device 40 to remain operational during the downloading and storage of the new code. For example, in the Fieldbus protocol, the new code is downloaded using asynchronous communications when the I/O device associated with the controller 12 and the reprogrammable field device 40 receive their pass token messages. Concurrently, the control modules 24 of the reprogrammable field device 40 and of the other field devices 14, 15, 40 are operating within their control loops to perform process control and transmit process control information according to the synchronous schedule. Similarly, in networks implementing the HART protocol, the downloaded code is transmitted over the twisted pair wiring in the digital overlay while the process control information is communicated by the analog signal. Consequently, process control is unaffected by the downloading of code to the reprogrammable field device 40. Moreover, by using the data highway 20 and the appropriate protocol bus or link to download the new code, the reprogrammable field device 40 is reprogrammed without sending a person to the physical location of the reprogrammable field device 40.

By using the standard communications protocol, the host 18 (or controller 12) can download code to multiple reprogrammable field devices 40 without interrupting the operation of the system 10 so that the devices 40 can be reprogrammed simultaneously during a single period in which process control is interrupted. For example, in the Fieldbus protocol, a controller's associated I/O device can either transmit broadcast messages to all the devices 40 being reprogrammed, or publish messages to the individual devices 40 over a period of multiple macrocycles. In the HART protocol, each reprogrammable field device 40 has a dedicated connection such that code may be simultaneously downloaded by the controller 12 to multiple devices 40. After all the devices 40 have received and stored the downloaded code from the host 18 or controller 12, the reprogramming cycle for the devices 40 can be initiated in a manner more fully described below during a single period in which process control is interrupted.

Once the download and storage of the new code is complete, the reprogrammable field device 40 is ready to be reprogrammed to execute the

10

15

20

25

30

downloaded code. At this point, the host 18 verifies that the reprogrammable field device 40 is ready for the reprogramming cycle. The verification may include transmitting an instruction to the reprogrammable field device 40 to compute a checksum on the downloaded data to verify that the data received by the reprogrammable field device 40 matches the data transmitted by the host 18. If the verification operation indicates that the download was unsuccessful, the host 18 may reinitiate the download sequence, issue a notification to the operator that the download was unsuccessful, or perform some other preprogrammed error handling function.

If the download was successful, the host 18 issues a command, in either the initial verification message or a subsequent message, for the reprogrammable field device 40 to prepare for reprogramming. Depending on the requirements of the particular reprogrammable field device 40, the operational mode of the reprogrammable field device 40 during the reprogramming cycle will vary. For example, a controlling instrument such as a valve positioner may require being taken out of service completely while the device is reprogrammed. While the valve positioner is out of service, it may be necessary for the host 18 or controller 12 to notify other field devices 14, 15, 40 and control modules 24 that the valve positioner is out of service. The notification by the host 18 or controller 12 may also include instructions to change the operational state of one or more of the field devices 14, 15, 40 or control modules 24 while the valve positioner or other reprogrammable field device 40 is reprogrammed. For other reprogrammable field devices 40, such as transmitters, the host 18 may instruct the reprogrammable field device 40 to operate at a steady state and to transmit process control information with a constant value, such as a steady flow rate, while the reprogrammable field device 40 is reprogrammed. In other cases, the host 18/controller 12 may override the process control loop in place of the reprogrammable field device 40 during the reprogramming cycle and communicate directly with the other devices 14, 15, 40 and control modules 24 in the control loop to allow them to operate under semi-normal operating conditions. The host 18/controller 12, reprogrammable field device 40, other field devices 14, 15, 40

- 18 -

10

15

20

25

30

and the control modules 24 may be required to operate under other types of modified operating modes depending on the particular requirements of the field devices 14, 15, 40, control loops and process control system 10.

Once the reprogrammable field device 40 and the process control system 10 are prepared by the host 18/controller 12, the host 18/controller 12 transmits a message to the reprogrammable field device 40 with an instruction for the reprogrammable field device 40 to begin reprogramming itself. The reprogrammable field device 40 may reprogram itself via one of the reprogramming methods previously described, such as by copying the downloaded code from the second memory 46 to the first memory 44 or changing the pointer in the transfer unit 48 to cause the CPU 42 to execute the downloaded code in the previously idle memory, or by any other method that is dictated by the hardware configuration of the reprogrammable field device 40. After the reprogrammable field device 40 is reprogrammed, communications between the host 18/controller 12 and the reprogrammable field device 40 must be reestablished so the reprogrammable field device 40 can return to its normal operational mode for implementation of process control. Communications may be reestablished by a response message from the reprogrammable field device 40 to the host 18/controller 12 after the device 40 is successfully reprogrammed, or by the host 18/controller 12 transmitting a restart message to the reprogrammable field device 40 after a predetermined period of time.

The method and device of the present invention as described herein facilitate downloading code to the reprogrammable field devices 40 over the network without affecting the process control performed by the process control system 10. The method and device constitute a significant enhancement over previously known methods of downloading code to field devices wherein no process control could be performed for the duration of downloading the code and reprogramming the field device. For example, in a process control system using the HART protocol, 15 minutes is required to download 30Kb of data to a previously known field device and 15 seconds is required to reprogram the device, resulting in about a 16 minute interruption in process control. Using the method and device according to the present invention, the

10

15

20

25

30

30Kb data is downloaded to the reprogrammable field device 40 in the digital overlay while process control information is simultaneously transmitted in the analog signal, and process control is interrupted for less than one minute when the device 40 is reprogrammed. Moreover, the field device does not need to be physically manipulated to reprogram, i.e., no trip to the instrument, no opening the instrument, etc., thereby reducing the labor costs associated with reprogramming field devices and the cost of lost network operating time caused by taking the control loops out of service.

In addition to reducing the impact on the process control, implementation of the method and device according to the present invention is simplified by using the standard communications protocol already existing in the process control system. The method can be used in any protocol the supports writing blocks of data in some format. Consequently, no additional coding is required for functions such as communicating the code, error checking, and the like that are already executed by the communications protocol.

When implemented, any of the software or firmware described herein may be stored in any computer readable memory such as on a magnetic disk, a laser disk, or other storage medium, in a RAM or ROM of a computer or processor, etc. Likewise, the software may be delivered to a user, a process control system, or the host 18 via any known or desired delivery method including, for example, on a computer readable disk or other transportable computer storage mechanism or over a communication channel such as a telephone line, the Internet, the World Wide Web, any other local area network or wide area network, etc. (which delivery is viewed as being the same as or interchangeable with providing such software or firmware via a transportable storage medium). Furthermore, the software or firmware may be provided directly without modulation or may be modulated using any suitable modulation carrier wave before being transmitted over a communication channel.

Thus, while the present invention has been described with reference to specific examples, which are intended to be illustrative only and not to be limiting of the invention, it will be apparent to those of ordinary skill in the art that changes,

additions or deletions may be made to the disclosed embodiments without departing from the spirit and scope of the invention.

-21 - .

8

#### What is claimed is:

1. A method of reprogramming a field device in a process control
2 network having a plurality of devices which are communicatively linked on a bus and which use a standard communication protocol to perform process control functions,

4 the method comprising the steps of:

downloading program instructions from a host device to one of the field devices using the standard communication protocol; storing the downloaded program instructions in the field device; and causing the field device to execute the downloaded program instructions.

- A method of reprogramming a field device in a process control
   network according to claim 1, wherein the downloading step comprises the step of transmitting the programming instructions from the host device to the one of the field
   devices using unscheduled queued communications.
- A method of reprogramming a field device in a process control
   network according to claim 2, wherein the downloading step comprises the step of transmitting the programming instructions from the host device to the one of the field
   devices using a plurality of unscheduled queued communications.
- A method of reprogramming a field device in a process control
   network according to claim 1, wherein the one of the field devices has a first memory with stored program instructions and a second memory, wherein said storing step
   comprises the step of storing the downloaded program instructions in the second memory while the one of the field devices is capable of executing the stored program
- 6 instructions to perform process control.

- 5. A method of reprogramming a field device in a process control
   network according to claim 4, wherein the causing step comprises the step of copying the downloaded program instructions from the second memory to the first memory.
- A method of reprogramming a field device in a process control
   network according to claim 4, wherein the causing step comprises the step of redirecting the one of the field devices from executing the stored program instructions in the first memory to executing the downloaded program instructions in the second memory.
- 8. A method of reprogramming a field device in a process control

  2 network according to claim 4, wherein the causing step comprises the steps of:

  ceasing the execution of the stored program instructions in the first

  4 memory;

  redirecting the field device to execute the downloaded program

  6 instructions in the second memory;

  initiating the execution of the downloaded program instructions in the

  8 second memory.

8

10

12

14

16

18

20

	9. A method of reprogramming a field device in a process control
2	network according to claim 1, wherein the standard communications protocol is the
	Fieldbus protocol.

- 10. A method of reprogramming a field device in a process control
   2 network according to claim 1, wherein the standard communications protocol is the HART protocol.
- 11. A system for reprogramming a field device in a process control
  2 network having a plurality of field devices communicatively linked over a bus,
  wherein each of the field devices is capable of communicating on the bus using a
  4 standard communications protocol during operation of the process control network,
  the system comprising:

a first device that generates downloadable program instructions and that transmits the downloadable program instructions over the bus using the standard communication protocol; and

a second device capable of receiving the downloadable program instructions transmitted over the bus, the second device comprising:

a processor adapted to execute a set of program instructions stored in the second device;

a first memory adapted to store a first set of program instructions that may be executed by the processor; and

a second memory adapted to store the downloadable program instructions transmitted over the bus;

wherein the first device transmits the downloadable program instructions to the second device and the second device receives the downloadable program instructions and stores the program instructions in the second memory during operation of the process control network.

- 12. A system for reprogramming a field device according to claim 11,
- wherein the standard communication protocol includes scheduled and unscheduled communications and the first device transmits the downloadable program instructions
- 4 to the second device using unscheduled communications.
  - 13. A system for reprogramming a field device according to claim 11,
- wherein the standard communication protocol includes concurrent analog and digital communications and the first device transmits the downloadable program instructions
- 4 to the second device using digital communications.
  - 14. A system for reprogramming a field device according to claim 11,
- wherein the first memory is a non-volatile memory and the second device stores the downloadable program instructions in the second memory while the processor is
- enabled to execute program instructions stored in the first memory to perform process control, and wherein the second device includes a transfer unit that disables the
- 6 processor from executing program instructions stored in the first memory after the downloadable program instructions are stored in the second memory, that copies the
- downloadable program instructions from the second memory to the non-volatile memory of the first memory while the processor is disabled, and that reenables the
- processor to execute the downloadable program instructions stored in the first memory after the downloadable program instructions are copied.
  - 15. A system for reprogramming a field devices according to claim 11,
- wherein the first memory is a non-volatile memory adapted to store the downloadable program instructions, the second memory is a non-volatile memory adapted to store
- 4 program instructions that may be executed by the processor, the second device includes a transfer unit adapted to store information causing the processor to execute
- the program instructions stored in one of the first memory and the second memory, and wherein the transfer unit stores the downloadable program instructions in the
- 8 other of the first memory and the second memory while the processor is enabled to

12

14

16

2

4

6

8

10

12

14

16

18

execute program instructions stored in the one of the first memory and the second memory to perform process control, disables the processor from executing program instructions stored in the one of the first memory and the second memory after the downloadable program instructions are stored in the other of the first memory and the second memory, updates the stored information to cause the processor to execute the downloadable program instructions stored in the other of the first memory and the second memory while the processor is disabled, and reenables the processor to execute the downloadable program instructions stored in the other of the first memory and the second memory.

A system for reprogramming a field devices according to claim 11, 16. wherein the second device further comprises a non-volatile memory having a first portion containing the first memory, a second portion containing the second memory, the first memory and the second memory being adapted to store program instructions that may be executed by the processor and downloadable program instructions received in the input signal, and a transfer unit having a third memory adapted to store information causing the processor to execute the program instructions stored in one of the first memory and the second memory, and wherein the transfer unit stores the downloadable program instructions in the other of the first memory and the second memory while the processor is enabled to execute program instructions stored in the one of the first memory and the second memory to perform process control, disables the processor from executing program instructions stored in the one of the first memory and the second memory after the downloadable program instructions are stored in the other of the first memory and the second memory, updates the stored information in the third memory to cause the processor to execute the downloadable program instructions stored in the other of the first memory and the second memory while the processor is disabled, and reenables the processor to execute the downloadable program instructions stored in the other of the first memory and the second memory after the third memory is updated.

. - 26 - .

	17. A reprogrammable field device capable of being used in a process
2	control network having a plurality of devices communicatively coupled to a bus,
	wherein each of the devices is capable of communicating on the bus using a standard
4	communications protocol, and wherein a host device is capable of generating input
	signals including downloadable program instructions and transmitting the input
6	signals to the reprogrammable field device over the bus during operation of the
	process control network, the reprogrammable field device comprising:
8	a processor adapted to execute a set of program instructions stored in
	the reprogrammable field device;
10	a first memory adapted to store a first set of program instructions that
	may be executed by the processor; and
12	a second memory adapted to store the downloadable program
	instructions transmitted over the bus;
14	wherein the reprogrammable field device receives the downloadable
	program instructions and stores the downloadable program instructions in the
16	second memory during operation of the process control network.

- 18. A reprogrammable field device according to claim 17, wherein the
   standard communication protocol includes scheduled and unscheduled communications and the host device transmits the downloadable program instructions
   to the reprogrammable field device using unscheduled communications.
- 19. A reprogrammable field device according to claim 17, wherein the
   2 standard communication protocol includes concurrent analog and digital communications and the host device transmits the downloadable program instructions
   4 to the reprogrammable field device using digital communications.

- 20. A reprogrammable field device according to claim 17, wherein the first memory is a non-volatile memory and the reprogrammable field device stores the downloadable program instructions in the second memory while the processor is
- enabled to execute program instructions stored in the first memory to perform process control, and wherein the reprogrammable field device further comprises a transfer
- 6 unit that disables the processor from executing program instructions stored in the first memory after the downloadable program instructions are stored in the second
- memory, copies the downloadable program instructions from the second memory to the non-volatile memory of the first memory while the processor is disabled, and reenables the processor to execute the downloadable program instructions stored in the first memory after the downloadable program instructions are copied.
- A reprogrammable field device according to claim 17, wherein the first 21. memory is a non-volatile memory adapted to store the downloadable program 2 instructions received in the input signals, the second memory is a non-volatile memory adapted to store program instructions that may be executed by the processor, 4 and the reprogrammable field device further comprises a transfer unit having a third memory adapted to store information causing the processor to execute the program 6 instructions stored in one of the first memory and the second memory, and wherein the transfer unit stores the downloadable program instructions in the other of the first 8 memory and the second memory while the processor is enabled to execute program 10 instructions stored in the one of the first memory and the second memory to perform process control, disables the processor from executing program instructions stored in the one of the first memory and the second memory after the downloadable program 12 instructions are stored in the other of the first memory and the second memory, updates the stored information in the third memory to cause the processor to execute 14 the downloadable program instructions stored in the other of the first memory and the second memory while the processor is disabled, and reenables the processor to 16

and the second memory after the third memory is updated.

execute the downloadable program instructions stored in the other of the first memory

4

6

8

10

12

14

16

18

20

22

2

4

22.	A reprogrammable field device according to claim 17,	furthe
comprising:		

a non-volatile memory having a first portion containing the first memory and a second portion containing the second memory, the first memory and the second memory being adapted to store program instructions that may be executed by the processor and downloadable program instructions received in the input signal; and

a transfer unit adapted to store information causing the processor to execute the program instructions stored in one of the first memory and the second memory,

wherein the transfer unit stores the downloadable program instructions in the other of the first memory and the second memory while the processor is enabled to execute program instructions stored in the one of the first memory and the second memory to perform process control, disables the processor from executing program instructions stored in the one of the first memory and the second memory after the downloadable program instructions are stored in the other of the first memory and the second memory, updates the stored information to cause the processor to execute the downloadable program instructions stored in the other of the first memory and the second memory while the processor is disabled, and reenables the processor to execute the downloadable program instructions stored in the other of the first memory and the second memory after the third memory is updated.

23. A method of reprogramming a field device in a process control network having a plurality of devices which are communicatively linked on a bus to perform process control functions, the method comprising the steps of:

downloading program instructions from a host device to one of the field devices wherein the host device divides the program instructions into a

- 29 -

6

plurality of data packets that are downloaded to the one of the field devices over time;

reassembling the downloaded data packets into the program instructions in the field device;

storing the downloaded program instructions in the field device; and causing the field device to execute the downloaded program instructions.

- 24. A method of reprogramming a field device in a process control
   network according to claim 23, wherein the downloading step comprises the step of transmitting the data packets from the host device to the one of the field devices using
   a plurality of unscheduled queued communications.
- 25. A method of reprogramming a field device in a process control
  network according to claim 23, wherein the one of the field devices has a first memory with stored program instructions and a second memory, wherein said storing
  step comprises the step of storing the downloaded program instructions in the second memory while the one of the field devices is capable of executing the stored program instructions to perform process control.
- 26. A method of reprogramming a field device in a process control
   network according to claim 25, wherein the causing step comprises the step of copying the downloaded program instructions from the second memory to the first
   memory.
- 27. A method of reprogramming a field device in a process control
  2 network according to claim 25, wherein the causing step comprises the step of redirecting the one of the field devices from executing the stored program instructions
  4 in the first memory to executing the downloaded program instructions in the second memory.

	28.	A method of reprogramming a field device in a process control
2	network acco	rding to claim 25, wherein the causing step comprises the steps of:
		ceasing the execution of the stored program instructions in the first
4	memo	ory;
		copying the downloaded program instructions from the second
6	memo	ory to the first memory;
		initiating the execution of the downloaded program instructions in the
8	first n	nemory.
	29.	A method of reprogramming a field device in a process control
2	network acco	ording to claim 25, wherein the causing step comprises the steps of:
		ceasing the execution of the stored program instructions in the first
4	memo	ory;
		redirecting the field device to execute the downloaded program
6	instru	ctions in the second memory;
		initiating the execution of the downloaded program instructions in the
8	secon	d memory.
	30.	A method of reprogramming a field device in a process control
2	network acco	ording to claim 23, wherein the plurality of devices communicate using a
	standard com	munication protocol.
	31.	A system for reprogramming a field device in a process control
2	network havi	ng a plurality of field devices communicatively linked over a bus,
	wherein each	of the field devices is capable of communicating on the bus during
4	operation of	the process control network, the system comprising:
		a first device that divides downloadable program instructions into a
6	plural	lity of data packets and that transmits the data packets over the bus; and

	a second device capable of receiving the data packets transmitted over
8	the bus and reassembling the data packets into the downloadable program
	instructions, the second device comprising:
10	a processor adapted to execute a set of program instructions
	stored in the second device;
12	a first memory adapted to store a first set of program
	instructions that may be executed by the processor; and
14	a second memory adapted to store the downloadable program
	instructions transmitted over the bus;
16	wherein the first device transmits the data packets to the second device
	and the second device receives the data packets, reassembles the data packets
18	into the downloadable program instructions, and stores the program
	instructions in the second memory during operation of the process control
20	network.
	32. A system for reprogramming a field device according to claim 31,
2	wherein the field devices communicate using scheduled and unscheduled
	communications and the first device transmits the data packets to the second device

- 33. A system for reprogramming a field device according to claim 31,
- wherein the field devices communicate using concurrent analog and digital communications and the first device transmits the data packets to the second device
- 4 using digital communications.

using unscheduled communications.

- 34. A system for reprogramming a field device according to claim 31,
- wherein the first memory is a non-volatile memory and the second device stores the downloadable program instructions in the second memory while the processor is
- enabled to execute program instructions stored in the first memory to perform process control, and wherein the second device includes a transfer unit that disables the

4

6

8

10

12

14

16

2

4

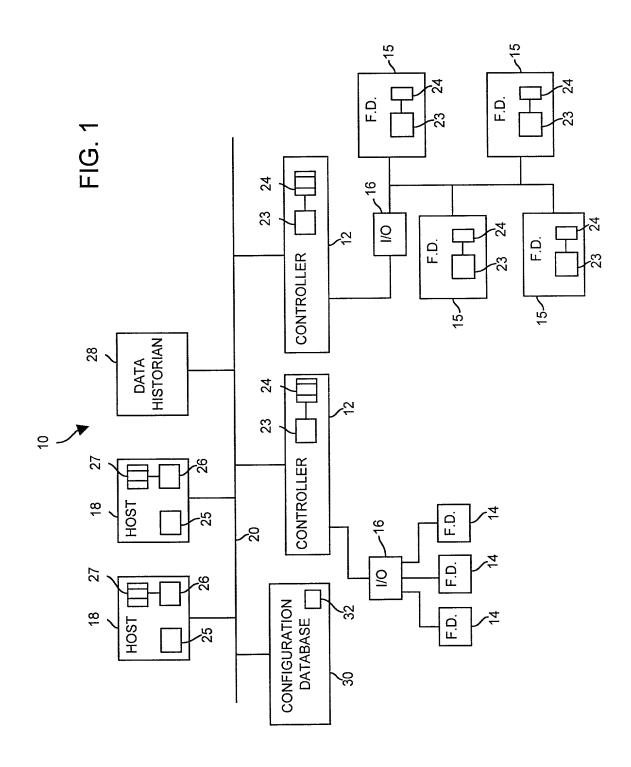
- 6 processor from executing program instructions stored in the first memory after the downloadable program instructions are stored in the second memory, that copies the
- downloadable program instructions from the second memory to the non-volatile memory of the first memory while the processor is disabled, and that reenables the processor to execute the downloadable program instructions stored in the first memory after the downloadable program instructions are copied.
  - 35. A system for reprogramming a field devices according to claim 31, wherein the first memory is a non-volatile memory adapted to store the downloadable program instructions, the second memory is a non-volatile memory adapted to store program instructions that may be executed by the processor, the second device includes a transfer unit adapted to store information causing the processor to execute the program instructions stored in one of the first memory and the second memory, and wherein the transfer unit stores the downloadable program instructions in the other of the first memory and the second memory while the processor is enabled to execute program instructions stored in the one of the first memory and the second memory to perform process control, disables the processor from executing program instructions stored in the one of the first memory and the second memory after the downloadable program instructions are stored in the other of the first memory and the second memory, updates the stored information to cause the processor to execute the downloadable program instructions stored in the other of the first memory and the second memory while the processor is disabled, and reenables the processor to execute the downloadable program instructions stored in the other of the first memory and the second memory.
  - 36. A system for reprogramming a field devices according to claim 31, wherein the second device further comprises a non-volatile memory having a first portion containing the first memory, a second portion containing the second memory, the first memory and the second memory being adapted to store program instructions that may be executed by the processor and downloadable program instructions

- received in the input signal, and a transfer unit having a third memory adapted to store information causing the processor to execute the program instructions stored in one of
- the first memory and the second memory, and wherein the transfer unit stores the downloadable program instructions in the other of the first memory and the second
- memory while the processor is enabled to execute program instructions stored in the one of the first memory and the second memory to perform process control, disables
- the processor from executing program instructions stored in the one of the first memory and the second memory after the downloadable program instructions are
- stored in the other of the first memory and the second memory, updates the stored information in the third memory to cause the processor to execute the downloadable
- program instructions stored in the other of the first memory and the second memory while the processor is disabled, and reenables the processor to execute the
- downloadable program instructions stored in the other of the first memory and the second memory after the third memory is updated.

# DOWNLOADABLE CODE IN A DISTRIBUTED PROCESS CONTROL SYSTEM

#### **ABSTRACT OF THE INVENTION**

The present invention is directed to a method of reprogramming a field device
in a process control network using the standard communications protocol for the
network, and a reprogrammable field device in the process control network adapted
for being reprogrammed using the standard communications protocol for the network.
The method and device of the present invention use the standard communications
protocol to transmit the downloaded code to the field device and store the
downloaded code in the field device while the device is enabled to perform process
control. Once the new code is downloaded and stored in the field device, the field
device is disabled from performing process control, reprogrammed to execute the
downloaded code, and reenabled to perform process control.



46 4 7 48 TRANSFER CONTROL MODULES **~52** 750 CALIBRATION INFORMATION CONTROLLER APPLICATION 23 OPERATING SYSTEM CPU

FIG. 2

Atty. Docket No: 06005/35500

# DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby	declare that my residence, post	office address and citizenship are as	stated below next
to my name; I believe that I am the original, fi	irst and sole inventor (if only or	ne name is listed below) or an origin	nal, first and joint
inventor (if plural names are listed below) of t			
entitled "DOWNLOADABLE CODE IN A			
(check one): ⊠ is attached hereto; □ was			
and was amended on			
on and was an			
that I have reviewed and understand the con			
amendment(s) referred to above. I acknowled			
me to be material to patentability as defined			
I hereby claim foreign priority ben	nefits under 35 U.S.C. §119 o	of any foreign application(s) for pa	tent or inventor's
certificate or of any PCT international applica	ation(s) designating at least one of	country other than the United States	of America listed
below and have also identified below any f			
application(s) designating at least one country			
a filing date before that of the application(s)			
Courte — — — — — — — — — — — — — — — — — — —			Priority Claimed
	(Country)	(Day/Month/Year Filed)	□ □ Yes No
(Application Serial Number)	(Country)	(Day) World Feat Fried)	103 110
2005 2005 2007			
(Application Serial Number)	(Country)	(Day/Month/Year Filed)	Yes No
The state of the s			
I hereby claim the benefit under 35	U.S.C. §119(e) of any United	States provisional application(s) lis	ted below:
(Application Serial Number)		(Day/Month/Year Filed)	
(A. J. J. J. Carlet Number)		(Day/Month/Year Filed)	
(Application Serial Number)		(Dayringham Your Y Hou)	
I hereby claim the benefit under 35	U.S.C. §120 of any United St	tates application(s) or PCT internati	onal application(s)
designating the United States of America liste	ed below and, insofar as the sub	oject matter of each of the claims of	this application is
not disclosed in the prior application(s) in the	manner provided by the first	paragraph of 35 U.S.C. §112, I ack	nowledge the duty
to disclose to the Office all information know	n to me to be material to paten	tability as defined in 37 C.F.R. §1	56 which occurred
between the filing date of the prior application			
(Application Serial Number)	(Day/Month/Year Filed)	(Status-Patented, I	Pending or Abandoned)
(Application Serial Number)	(Day/Month/Year Filed)	(Status-Patented, I	Pending or Abandoned)
(Application bottom Francost)	(= -0		-

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: I hereby appoint as my attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Alvin D. Shulman (19,412) Allen H. Gerstein (22,218) Nate F. Scarpelli (22,320) Edward M. O'Toole (22,477) Michael F. Borun (25,447) Trevor B. Joike (25,542) Timothy J. Vezeau (26,348) Carl E. Moore, Jr. (26,487) Richard H. Anderson (26,526) Patrick D. Ertel (26,877) James P. Zeller (28,491) William E. McCracken (30,195) Richard A. Schnurr (30,890) Anthony Nimmo (30,920) Christine A. Dudzik (31,245) Jeffrey S. Sharp (31,879) Martin J. Hirsch (32,237) James J. Napoli (32,361) Richard M. La Barge (32,254) Karl A. Vick (33,288) Li-Hsien Rin-Laures, M.D. (33,547) Douglass C. Hochstetler (33,710) Robert M. Gerstein (34,824) David W. Clough (36,107) Richard A. Brandon (37,051) Roger A. Heppermann (37,641) David A. Gass (38,153)

# Send correspondence to: Roger A. Heppermann

FIRM NAME	PHONE NO.	STREET	CITY & STATE	ZIP CODE
Marshall, O'Toole, Gerstein, Murray & Borun	312-474-6300	6300 Sears Tower 233 South Wacker Drive	Chicago, Illinois	60606-6402
Full Name of First or Sole Inventor Larson, Brent Hans Residence Address - Street 1008 Fremont Street City (Zip) Marshalltown 50158 State or Country Iowa Date  Date September	. [999	2200 0 2200	wn 50158	
Second Joint Inventor, if any Packwood, Steve Allen Residence Address - Street 1323 West Church Street City (Zip) Marshalltown 50158 State or Country Iowa Date  23 September	/૧૧૧	Post Office A	otry 4	

Third Joint Inventor, if any	Citizenship The United States of America
Brown, Larry Keith Residence Address - Street 211 E. Southridge	Post Office Address - Street 211 E. Southridge
City (Zɪp) Marshalltown 50158	City (Zip) Marshalltown 50158
State or Country Iowa	State or Country Iowa
Date 23 September, 1999	Signature) K. Brown

#### APPLICABLE RULES AND STATUTES

#### 37 CFR 1.56. DUTY OF DISCLOSURE - INFORMATION MATERIAL TO PATENTABILITY (Applicable Portion)

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
  - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentability defines, to make sure that any material information contained therein is disclosed to the Office.

Information relating to the following factual situations enumerated in 35 USC 102 and 103 may be considered material under 37 CFR 1.56(a).

# 35 U.S.C. 102. CONDITIONS FOR PATENTABILITY: NOVELTY AND LOSS OF RIGHT TO PATENT

A person shall be entitled to a patent unless --

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for patent, or
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of the application for patent in the United States, or
  - (c) he has abandoned the invention, or

ħ

43

1

- (d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months before the filing of the application in the United States, or
- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraph (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent, or
  - (f) he did not himself invent the subject matter sought to be patented, or
- (g) before the applicant's invention thereof the invention was made in this country by another who had not abandoned, suppressed, or concealed it. In determining priority of invention there shall be considered not only the respective dates of conception and reduction to practice of the invention, but also the reasonable diligence of one who was first to conceive and last to reduce to practice, from a time prior to conception by the other.

#### 35 U.S.C. 103. CONDITIONS FOR PATENTABILITY; NON-OBVIOUS SUBJECT MATTER (Applicable Portion)

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Subject matter developed by another person, which qualifies as prior art only under subsection (f) or (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

#### 35 U.S.C. 112. SPECIFICATION (Applicable Portion)

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor of carrying out his invention.